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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Karl William Koch III, et al

Serial No: 10/716,892

Examiner:

Filed: November 19, 2003

Group Art Unit: 2874

For: ACTIVE PHOTONIC BAND-GAP
OPTICAL FIBERINFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 - 1.98Mail Stop Amendment
Commissioner of Patents
Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of any non-US patent is enclosed.

Each of the enclosed reference(s) was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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Date: AUGUST 12, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on AUG. 12, 2005
Date of Deposit

Ronald J. Paglierani

Name of applicant, assignee, or
Registered Representative

Signature

AUG. 12, 2005

Date of Signature



FORM PTO-1449 (MODIFIED)
LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.
SP03-162

SERIAL NO.
10/716,892

APPLICANT Karl William Koch III, et al.

FILING DATE Nov. 19, 2003

GROUP: 2874

REFERENCE DESIGNATION U.S. PATENT and PUBLICATION DOCUMENTS

| Examiner Initial | | Document Number | Date | Name | Class | Sub-Class | Filing Date if Approp. |
|------------------|----|-----------------|---------|------------------|-------|-----------|------------------------|
| | AA | 2003/161599A1 | 8/28/03 | Broderick, et al | 385 | 125 | |
| | AB | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Sub-Class | Translation Yes | No |
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| | AL | | | | | | | |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.